



## Protection of Personal Information and use of Member's Information

The member is aware of the fact, and hereby consents, to the collection of their personal information by the Institute including, in particular, information relating to their membership, CPD activities and related activities as a financial market practitioner and/or stockbroker and member of the Institute, and the lawful processing of such personal information by the Institute to the extent that such processing relates to the attainment of the objects of the Institute, as set out in the Institute's Constitution.

The member is aware of the fact, and hereby consents that his/her personal information may be stored on web-servers hosted by independent third parties, whether in the cloud or in South Africa or internationally.

The member consents thereto that the Institute shall be entitled, without limitation, to communicate the personal information of the member to the South African Qualifications Authority to the extent that such information may be required in terms of the National Qualifications Framework Act, as amended from time to time, and/or a Quality Council and/or exchanges and/or employers and/or employment agencies and/or any third party where the Institute deems it necessary to do so in giving effect to the interest of the members and/or the objects of the Institute as set out in the Institute's Constitution.

The member consents thereto that the Institute shall be entitled at all times to direct correspondence to the member by way of electronic communication, or such other means of communication as the Institute may require, and the member hereby consents to receive such correspondence: (1) on matters concerning the member's membership of the Institute, (2) to advise the member of, or promote events presented by or on behalf of the Institute or that are accredited and/or recognized by the Institute for compliance by the member with the member's obligation in terms of the Institute's CPD Policy in effect from time to time.

The member consents thereto that the Institute shall be entitled to share the member's personal information with any of its associated partners who are essential to the membership process and in order to give effect to the objects of the Institute as set out in the Institute's Constitution, and/or to subcontract any function to third party service providers and to this end shall be entitled to provide access for third party service providers to the member's personal information as contained on the Institute's database.

The member consents thereto that the Institute shall be entitled to retain the member's records in perpetuity, to enable the Institute to carry out its functions / achieve its objectives as set out in the Institute's Constitution and to meet any regulatory requirements. This will not apply should the member, at any time, request that his/her personal information be corrected, in terms of the provisions of the Protection of Personal Information Act No. 4 of 2013.