



SOUTH AFRICAN INSTITUTE OF STOCKBROKERS

14 September 2021

NOTICE OF RESOLUTIONS REFERRED TO MEMBERS ACTING OTHER THAN AT MEETING: ELECTRONIC VOTING

In accordance with section 11 of the [SAIS Constitution](#), the SAIS Council has determined that the ordinary resolutions which would normally take place at the Annual General Meeting (AGM), will take place electronically beforehand, with the results being announced at the AGM on 14 October 2021. Voting members are encouraged to submit any question or comment along with their votes (in the space provided). These will be addressed in session at the AGM on 14 October 2021.

Voting Period: Voting will open at 14h00 on 14 September and close at 12h00 on 13 October 2021.

Voting Platform: Voting is to be done electronically via the [SAIS Member Profile](#)

Please note:

1. Voting members are Individual SAIS members with the CSb(SA) designation, Honorary members and Corporate members.
2. Only members in good standing will be eligible to submit their votes.
3. The percentage vote necessary to carry an ordinary resolution shall be 50% of the votes received from members in good standing.
4. The percentage vote necessary to carry the special resolution for amendment of the Constitution shall be 75% of the votes received from members in good standing.
5. To submit votes for the abovementioned resolutions, please login to your [SAIS Profile](#) (www.sais.co.za/login) and follow the **SAIS Resolutions** option.
6. Members are reminded that questions and comments can be submitted with the vote, in the space provided. These will be addressed in session at the AGM on 14 October 2021.
7. Members are encouraged to familiarise themselves with the documentation prior to submitting votes.

ORDINARY RESOLUTIONS

1. Approval of minutes of Annual General Meeting of 10 September 2020.
[Click here to view the minutes](#)
2. Approval of the audited Annual Financial Statements (AFS) for the year ending December 2020.
[Click here to view the AFS](#)
3. Approval of the appointment of Arafides Auditors Inc as auditors of the Institute.
4. Appointment of members to the SAIS Council.

	Nominee	Membership Category	Bio
4.1	Anthea Margaret Koral	Individual	Chief Operating Officer & Executive Director at Prescient Securities, Anthea has over 20 years' experience in the Financial markets Industry, including 12 years' UK Financial Services experience.
4.2	Gqama Lusu	Individual	Gqama is currently employed in Equity Derivatives at Investec Bank and has over five years' experience in the Financial Markets Industry.
4.3	John Slettevold	Corporate	Head of UBS' equities (cash, derivatives, GFS) franchise in SA, John has over 20 years' experience at UBS in the Financial Markets Industry.
4.4	Kenneth Bogatsu	Individual	Stockbroking and Portfolio Management COO at ABSA Group Limited, Kenneth has over 9 years' experience in the Financial Markets Industry
4.5	Matthew Rattray	Corporate	CEO of RMB Morgan Stanley, Matthew has a comprehensive understanding of the Equity Capital Markets and a passionate interest in investment management gained from close to 20 years' experience in the Financial Markets Industry in South Africa and the UK.

	Nominee	Membership Category	Bio
4.6	Michael (Mike) Makate	Individual	Mike is currently employed at ABSA Group Limited Stockbroking and Portfolio Management in Equity and Sales Trading and Technical Analysis. Mike has over 10 years' experience in the Financial Markets Industry.
4.7	Ockert (Ockie) Raubenheimer	Individual	Ockie is an Experienced Capital Markets professional with a successful track record trading and growing equities franchise across SA, Turkey and CE3. Equity Trading Director at Citi Global Markets, Ockie has over 15 years' experience in the Financial Markets Industry both in South Africa and the UK.
4.8	Quintus Kilbourn	Corporate	Quintus joined Barclays Africa (Absa) in 2012 and currently heads up the Equities business post the Barclays separation. Quintus has worked in the Financial markets Industry over 25 years' and has extensive experience in both the primary and secondary markets and has worked on a substantial list of IPO's and Equity Capital Markets transactions.
4.9	Semadi Motau	Corporate	Semadi joined Navigare in 2018 and has over 20 years' experience in financial markets, with extensive experience in stockbroking and investments – and has served in various leading roles within the Industry.
4.10	Grant Meintjes	Corporate	Head at Nedbank Wealth: Stockbroking, Grant has over 20 years' experience in the Financial Markets Industry.

SPECIAL RESOLUTIONS

5. Approval of amendment of the SAIS Constitution.

[Click here to view a summary of the amendments](#)

[Click here to view the updated version of the SAIS Constitution](#)