



SOUTH AFRICAN INSTITUTE OF STOCKBROKERS
DRAFT MINUTES OF THE ANNUAL GENERAL MEETING (AGM)
Held virtually, on Thursday, 14 October 2021 at 10h00 via Microsoft Teams.

1. Welcome

Attendees were welcomed by Alphonso Raats (Chairman of the SAIS Council).

The meeting was attended by 46 members. The attendance list is attached as Annexure A.

Chris van Dyk, SAIS legal counsel, presided over the formalities of the meeting.

2. Notice of the AGM

Notice of the AGM had been given in accordance with the requirements.

3. Quorum

Quorum was confirmed in accordance with the requirements set out in the SAIS Constitution.

4. Appointment of the Chairperson

Alphonso Raats was confirmed as the chairperson of the AGM.

5. Address by the President.

Erica Bruce presented her report on the oversight and activities of the sector and the Institute for the past year as well as the challenges, opportunities and prospects going forward. The address by the President is attached hereto as [Annexure B](#).

6. Results of the Resolutions Referred to Members Acting Other than at Meeting: Electronic Voting

Notice of the resolutions referred to members acting other than at meeting, had been given in accordance with the requirements.

All the resolutions referred to members were carried.

- Resolution 1: Approval of the Minutes of the SAIS AGM held on 10 September 2020.
- Resolution 2: Approval of the Financial Statements for the year ending December 2020.
- Resolution 3: Approval of the appointment of Arafides Auditors Inc as auditors of the Institute.
- Resolution 4: Appointments to the SAIS Council:
- | | | |
|------|-----------------------------|-----------------------|
| 4.1 | Anthea Margaret Koral | Individual Membership |
| 4.2 | Gqama Lusu | Individual Membership |
| 4.3 | John Slettevold | Corporate Membership |
| 4.4 | Kenneth Bogatsu | Individual Membership |
| 4.5 | Matthew Rattray | Corporate Membership |
| 4.6 | Michael (Mike) Makate | Individual Membership |
| 4.7 | Ockert (Ockie) Raubenheimer | Individual Membership |
| 4.8 | Quintus Kilbourn | Corporate Membership |
| 4.9 | Semadi Motau | Corporate Membership |
| 4.10 | Grant Meintjes | Corporate Membership |
- Resolution 5: Approval of the amendment to the SAIS Constitution

The [notice of the resolution results](#) would be sent to members via email.

7. General

No further matters were discussed.

8. Closure of Meeting

The Chairperson of the Council thanked all members for attending.

The meeting closed at 11h20.

CHAIRPERSON

DATE

ANNEXURE A: SAIS AGM ATTENDANCE

SAIS Members in Attendance

1. Abrie du Bruyn
2. Adam Bunkell
3. Alphonso Raats
4. Arthur Thompson
5. Bobby Johnston
6. Damascene Taylor
7. Derek Hompes
8. Erica Bruce
9. Franco Lorenzani
10. Frank Fornasari
11. Gordon Rennie
12. Gqama Lusu
13. Grant Meintjes
14. Jaco Aucamp
15. Janine Van Eck
16. Johan Schoeman
17. John Tladi Dimpho
18. Kashnie Naidoo
19. Kenneth Bogatsu
20. Kevin Barlow-Jones
21. Magnus de Wet
22. Mark Sonik
23. Maurice Fisher
24. Mike Makate
25. Minos Gerakaris
26. Nedgroup Securities (Patricia Trojnar)
27. Nils Tania
28. Oupa Madonsela
29. Pamela Butcher
30. Peter Duminy
31. Priniel Gungudoo
32. René Hochreiter
33. Rigardt Maartens
34. Robert Van Eyden
35. Ryan Proudfoot
36. Schalk Louw
37. Sihle Mchunu
38. Tavis Cairns
39. Tshwantsho Matsena
40. UBS South Africa (John Slettevold)
41. Vincent Freemantle
42. Vusi Mkhondo
43. Warren Chapman
44. Werner Robberts
45. Yusuf Moola
46. Ziviwe Bubu

Non-SAIS members in Attendance

1. Chris van Dyk
2. Natércia Faustino
3. Romaana McKinnon
4. Nesan Nair
5. Semadi Ernaus Motau
6. Jesse Van Rensburg
7. Lizelle Kable

Apologies

1. ABSA Capital Securities (Quintus Kilbourn)
2. Anthea Koral
3. Barbara Price-Hughes
4. Edward Lenci
5. Erol Zeki (Sasfin)
6. First Rand Bank Ltd (Matthew Rattray)
7. Fred Paynter
8. Geoffrey Rothschild
9. Helen Haworth
10. Hugo Gous
11. Lee Dix
12. Shaheen Essop
13. Zarclear Holdings (Andrew Hannington)

ANNEXURE B: SAIS PRESIDENT'S ADDRESS

[Link to the SAIS President's Address at the AGM on 14 October 2021.](#)