



Assessor Registration Form

Occupational Certificate: Financial Markets Practitioner

SAQA ID: 117238

1. Introduction

The South African Institute of Stockbrokers (SAIS) is the professional body for stockbrokers and other financial markets professionals. It not only represents members but is also the industry representative. The role of the SAIS is to set and maintain the qualification standards for stockbrokers and other financial markets professionals. Ensuring that professionals have the requisite knowledge and skills is a further aim, as is ensuring that, knowledge and skills remain current and relevant. The guiding principles of the SAIS are:

- Protect the public;
- Maintain public confidence in the profession; and.
- Uphold proper standards of conduct in the profession.

The SAIS is committed to transformation of the Financial Markets Industry, both demographically and from a regulatory perspective. This commitment to transformation will help ensure a fairer and more equitable environment. This is effected through the categories of membership and multiple designations.

1.1 *Occupational Certificate: Financial Markets Practitioner (SAQA ID: 117238)*

The Occupational Certificate: Financial Markets Practitioner (FMP) is aimed at providing learners with entry-level knowledge, practical skills and experience in key functions in the financial markets. The FMP qualification consists of three components:

- Knowledge;
- Practical skills; and
- Work experience.

The focus of the work experience is to provide the learner with the opportunity to acquire context, knowledge and exposure to the work environment.

1.2 *The Role of the SAIS*

The SAIS is the Assessment Quality Partner (AQP) for the FMP. As such, it is responsible for setting the External Integrated Summative Assessment (EISA). The EISA is a cumulative examination that integrates the theory, practical and workplace components.

As the AQP, the SAIS accredits assessors for the purposes of assessing the EISA.

2. Registration Criteria

Anyone wishing to be an assessor the FMP EISA must:

- 2.1 Be a member in good standing of the SAIS;
- 2.2 Carry the designation CSb(SA);
- 2.3 Have a minimum of five (5) years' experience in the financial markets;

3. Personal Information:

Please provide the following information:

- 2.1 *Title*
- 2.2 *Surname*
- 2.3 *Name*
- 2.4 *ID / passport number*
- 2.5 *Nationality*
- 2.6 *Gender*
- 2.7 *Population group (for QCTO and SAQA purposes)*
- 2.8 *Disability (if applicable)*
- 2.9 *Home language*
- 2.10 *Residential address*
- 2.11 *Contact number*
- 2.12 *Email address*
- 2.13 *Physical address*
- 2.14 *Highest qualification obtained*
- 2.15 *SAIS Membership number; and*
- 2.16 *Any other professional designations*

4. Experience

- 4.1 Please provide a current CV, detailing professional experience in the industry.

5. Additional Documentation

Please provide the following:

- 5.1 Certified copies of highest qualification/s certificates; and
- 5.2 Certified copy of Identity Document

Please submit the requested information to:

Romaana McKinnon

Romaana@sais.co.za

6. Application Evaluation

All submissions will be evaluated within 4 weeks of receipt of a completed application.